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# Wind power generation forecasting using explainable artificial intelligence in Jeju Island, South Korea

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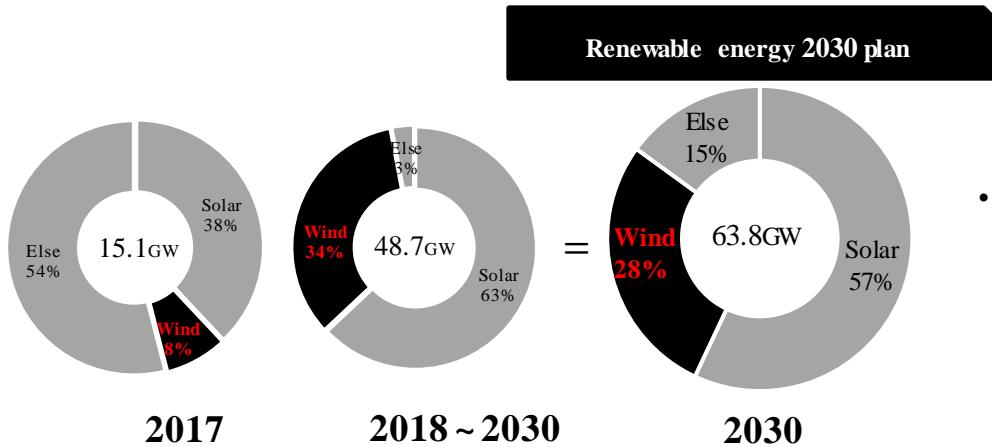


- **Introduction**
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- **Experimental Results**
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# Introduction

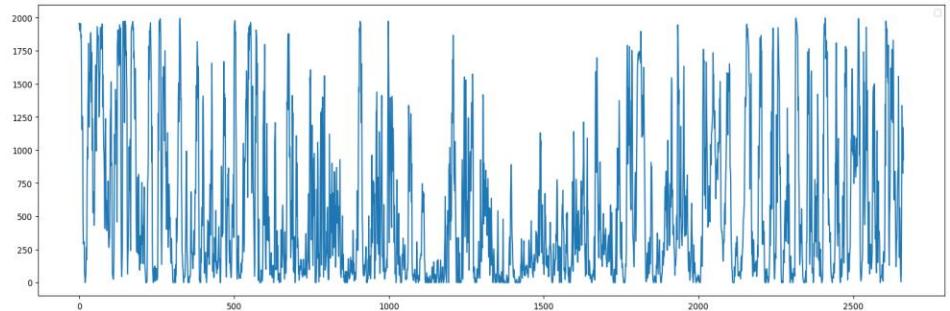


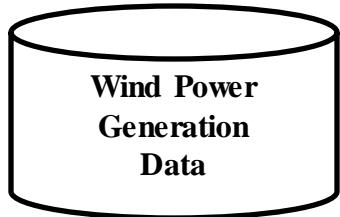
Towards Global Eminence



- The importance of **renewable energy**, such as solar and **wind power**, is **growing** in efforts to achieve carbon neutrality and RE100.

- Renewable energy generation is highly variable, → **Accurate generation forecasting** is important for **effective power supply planning**
- In this study, we aim to **interpret** the forecasting model trained with a **Transformer** architecture.

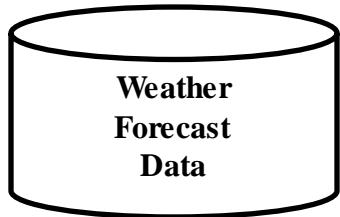




- 01.01.2014 ~ 31.12.2017 (4 years)
- Wind generation : Recorded every 10 minutes
- Location : Sungsan wind power plants at the east coast in Jeju island, South Korea



Data source of wind power plants



- 01.01.2014 ~ 31.12.2017 (4 years)
- Weather forecast : Announce starts at **2 am**
- Announce every 3 hours ( $t = 2, 5, 8, 11, 14, 17, 20, \text{and } 23$ )
- Total 8 time-zones

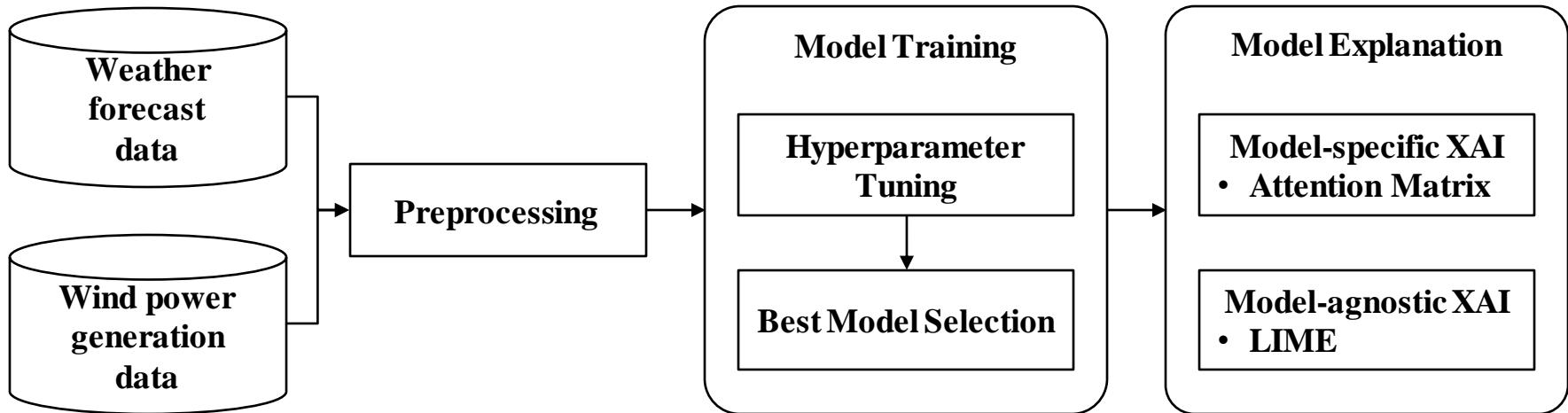


Data source of weather forecast:  
Korea Meteorological Administration (KMA)

“Combined two datasets at 3-hour intervals. 8 samples for every day”



- Procedure for the train and explanation of wind power forecasting models using Transformer architecture (WindTransNet)



# Train of WindTransNet

- Wind power forecast model based on weather forecasting and historical power generation

- Goal : to predict average wind power generation **per 1 day** (multi-step regression)

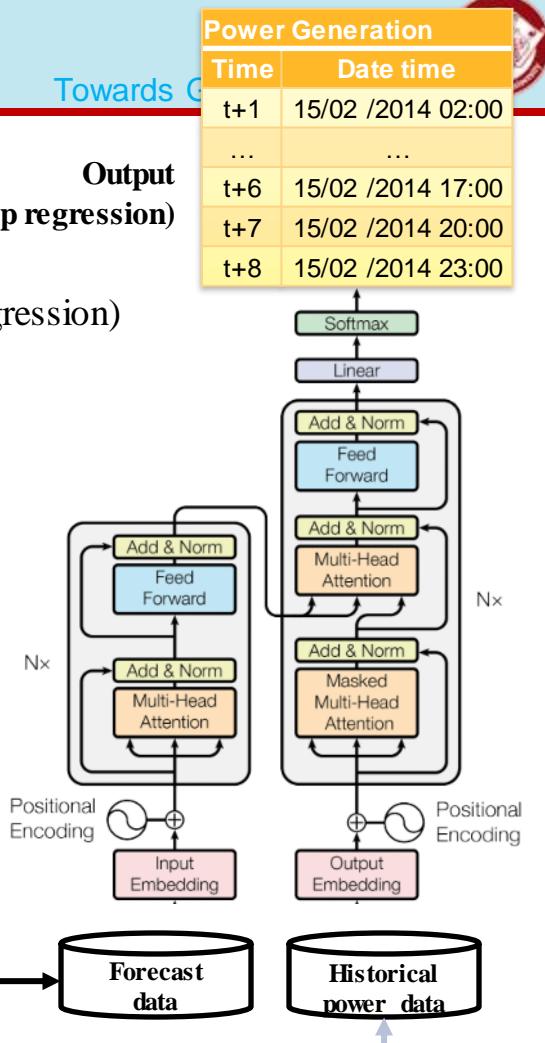
| Weather Forecast Data |                   |                   |
|-----------------------|-------------------|-------------------|
| (5 days)              | Time              | Date time         |
| d - 3                 | t-31              | 11/02 /2014 02:00 |
| ...                   | ...               | ...               |
|                       | t-7               | 14/02 /2014 02:00 |
| ...                   | ...               | ...               |
| d                     | t-2               | 14/02 /2014 17:00 |
| t-1                   | 14/02 /2014 20:00 |                   |
| t                     | 14/02 /2014 23:00 |                   |
|                       | t+1               | 15/02 /2014 02:00 |
| ...                   | ...               | ...               |
| d+1                   | t+6               | 15/02 /2014 17:00 |
| t+7                   | 15/02 /2014 20:00 |                   |
| t+8                   | 15/02 /2014 23:00 |                   |

| Historical Power Generation Data |                   |                   |
|----------------------------------|-------------------|-------------------|
| (5 days)                         | Time              | Date time         |
| d - 4                            | t-39              | 10/02 /2014 02:00 |
| ...                              | ...               | ...               |
|                                  | t-15              | 11/02 /2014 02:00 |
| ...                              | ...               | ...               |
| d - 1                            | t-10              | 13/02 /2014 17:00 |
| t-9                              | 13/02 /2014 20:00 |                   |
| t-8                              | 13/02 /2014 23:00 |                   |
| t-7                              | 14/02 /2014 02:00 |                   |
| ...                              | ...               | ...               |
| d                                | t-2               | 14/02 /2014 17:00 |
| t-1                              | 14/02 /2014 20:00 |                   |
| t                                | 14/02 /2014 23:00 |                   |

Towards G...

| Power Generation |                   |
|------------------|-------------------|
| Time             | Date time         |
| t+1              | 15/02 /2014 02:00 |
| ...              | ...               |
| t+6              | 15/02 /2014 17:00 |
| t+7              | 15/02 /2014 20:00 |
| t+8              | 15/02 /2014 23:00 |

Output  
(8-step regression)

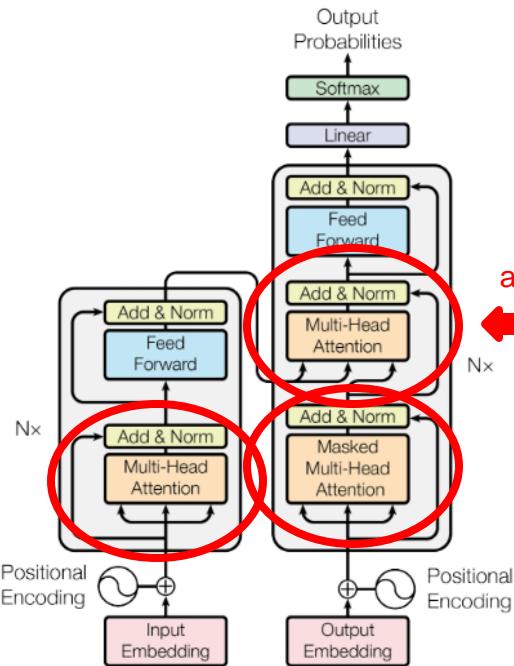


# Explanation of WindTransNet



Towards Global Eminence

- We focus on the **Multi-Head Attention** in the second sub-layer in the decoder.
- Because it allows to verify the **relationship between the decoder's input and the encoder's input**, and
- **the attention represents the final learning stage** for generating the outputs (before the fully connected layer).



| Weather Forecast Data |                   |                   |
|-----------------------|-------------------|-------------------|
| (5 days)              | Time              | Date time         |
| d - 3                 | t - 31            | 11/02 /2014 02:00 |
| ...                   | ...               |                   |
| t - 7                 | 14/02 /2014 02:00 |                   |
| ...                   | ...               |                   |
| d - 2                 | 14/02 /2014 17:00 |                   |
| t - 1                 | 14/02 /2014 20:00 |                   |
| t                     | 14/02 /2014 23:00 |                   |
| t + 1                 | 15/02 /2014 02:00 |                   |
| ...                   | ...               |                   |
| t + 6                 | 15/02 /2014 17:00 |                   |
| t + 7                 | 15/02 /2014 20:00 |                   |
| t + 8                 | 15/02 /2014 23:00 |                   |

| Historical Power Generation Data |                   |                   |
|----------------------------------|-------------------|-------------------|
| (5 days)                         | Time              | Date time         |
| d - 4                            | t - 39            | 10/02 /2014 02:00 |
| ...                              | ...               |                   |
| t - 15                           | 11/02 /2014 02:00 |                   |
| ...                              | ...               |                   |
| t - 10                           | 13/02 /2014 17:00 |                   |
| t - 9                            | 13/02 /2014 20:00 |                   |
| t - 8                            | 13/02 /2014 23:00 |                   |
| t - 7                            | 14/02 /2014 02:00 |                   |
| ...                              | ...               |                   |
| t - 2                            | 14/02 /2014 17:00 |                   |
| t - 1                            | 14/02 /2014 20:00 |                   |
| t                                | 14/02 /2014 23:00 |                   |

# Results – Forecasting of WindTransNet



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- **Four wind power forecasting models**
  - LSTM: the improved recurrent neural network used for handling sequential data
  - at-LSTM: the model that combines the **LSTM** with the **Attention** mechanism
  - WindTransNet-E: the transformer model using **an encoder without a decoder**
  - **WindTransNet-EDH (proposed):** the transformer model using **both an encoder and a decoder**

Performance of four wind power forecasting models

| Wind forecasting model             | RMSE          |               | MAE           |               | R <sup>2</sup> |             |
|------------------------------------|---------------|---------------|---------------|---------------|----------------|-------------|
|                                    | valid         | test          | valid         | test          | valid          | test        |
| LSTM                               | 452.78        | 464.08        | 313.29        | 324.21        | 0.36           | 0.33        |
| at-LSTM                            | 467.22        | 474.47        | 319.36        | 332.65        | 0.32           | 0.30        |
| WindTransNet-E                     | 475.82        | 475.04        | 319.19        | 323.24        | 0.30           | 0.30        |
| <b>WindTransNet-EDH (proposed)</b> | <b>452.51</b> | <b>440.76</b> | <b>305.40</b> | <b>300.96</b> | <b>0.36</b>    | <b>0.39</b> |

Hyperparameter tuning of WindTransNet-EDH

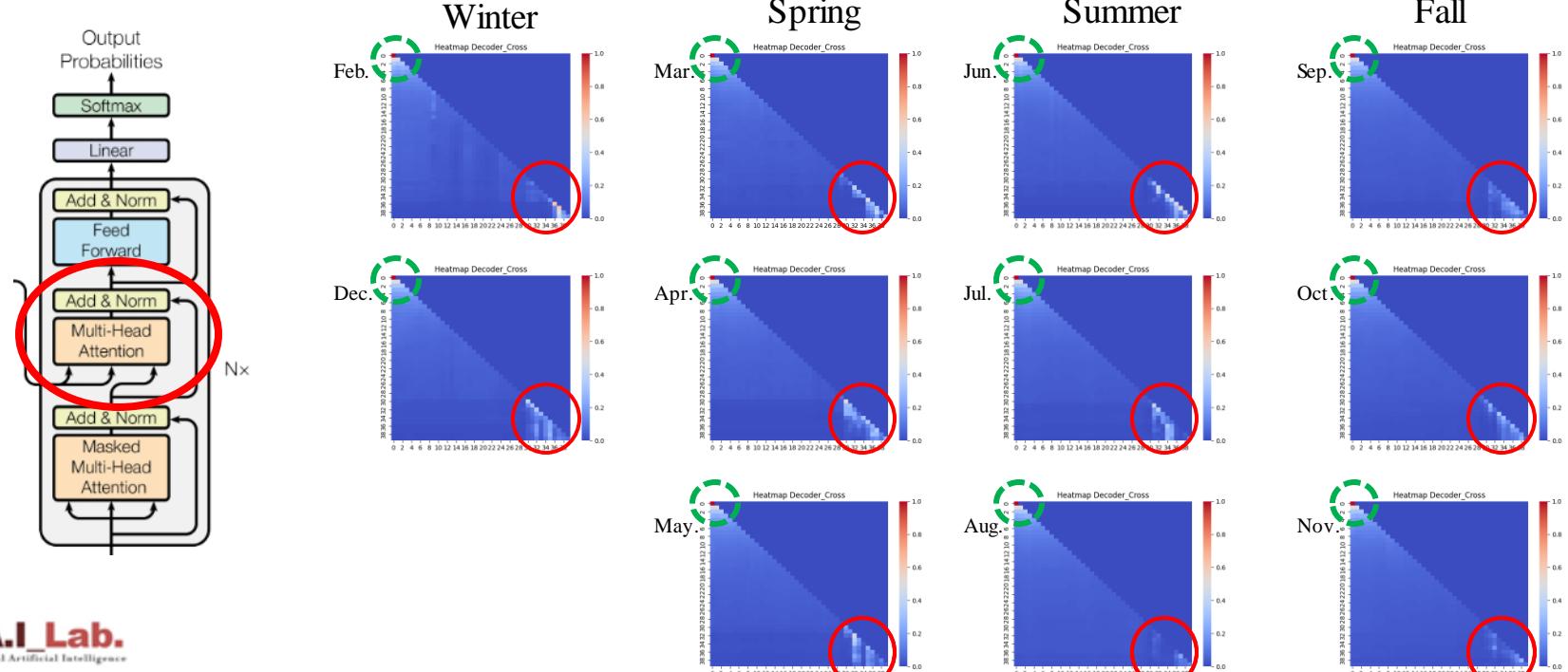
| Hyperparameter | Search space                        |
|----------------|-------------------------------------|
| Time lag       | $lag \in \{1, 3, 4, 5, 7, 14, 30\}$ |
| Num. of layers | $l \in \{1, 2, 4\}$                 |
| Num. of heads  | $h \in \{2, 4, 8\}$                 |
| Learning rate  | 0.001                               |
| Batch size     | 16                                  |
| Optimiser      | AdamW                               |
| Dropout        | 0.2                                 |
| Max epochs     | 10,000                              |
| Loss function  | MAE                                 |

# Results – Explanation of WindTransNet

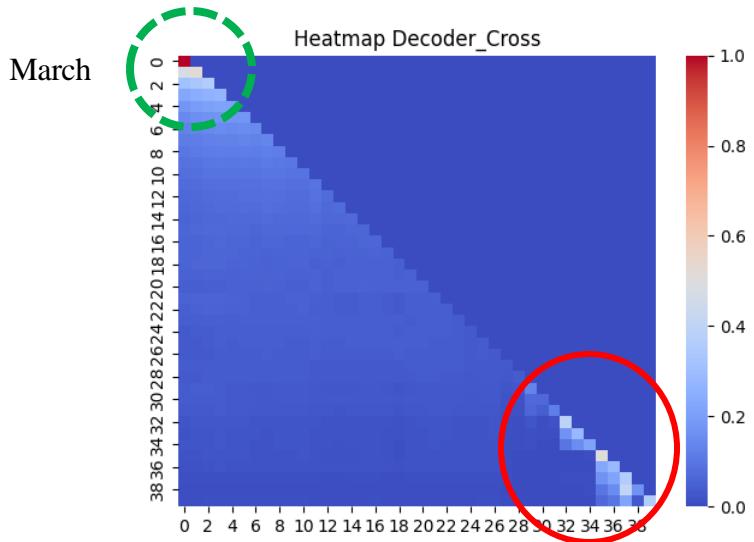


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- We focus on the **Multi-Head Attention** in the second sub-layer in the decoder.



Attention matrix in Spring (17.03.01)



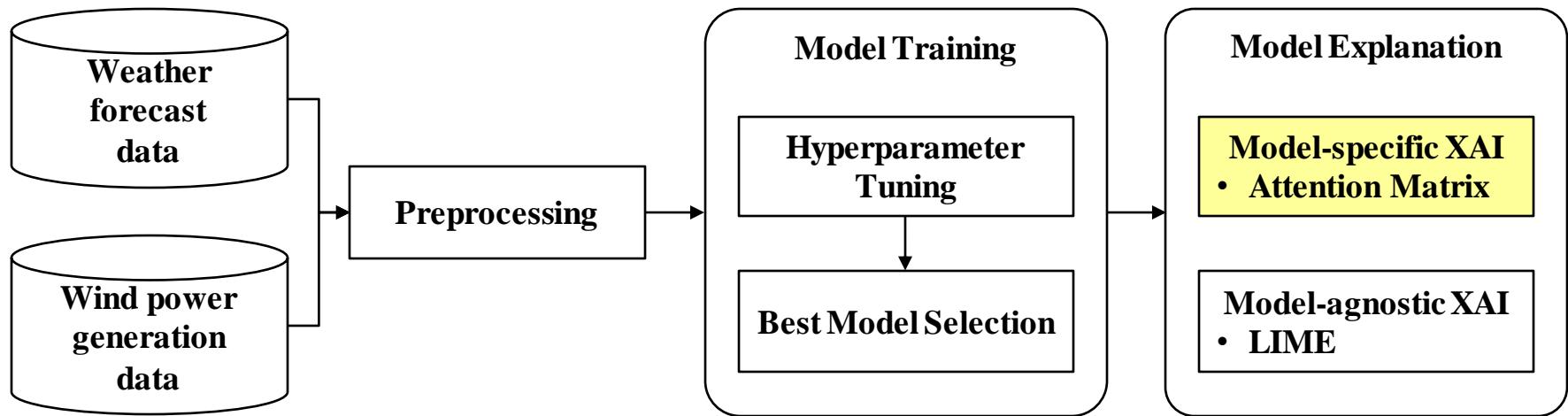
## Background

- Horizontal axis: Forecast data  
day-4, day-3, ..., day\_0
- Vertical axis: Historical data (generation)  
day-5, day-4, ..., day\_1

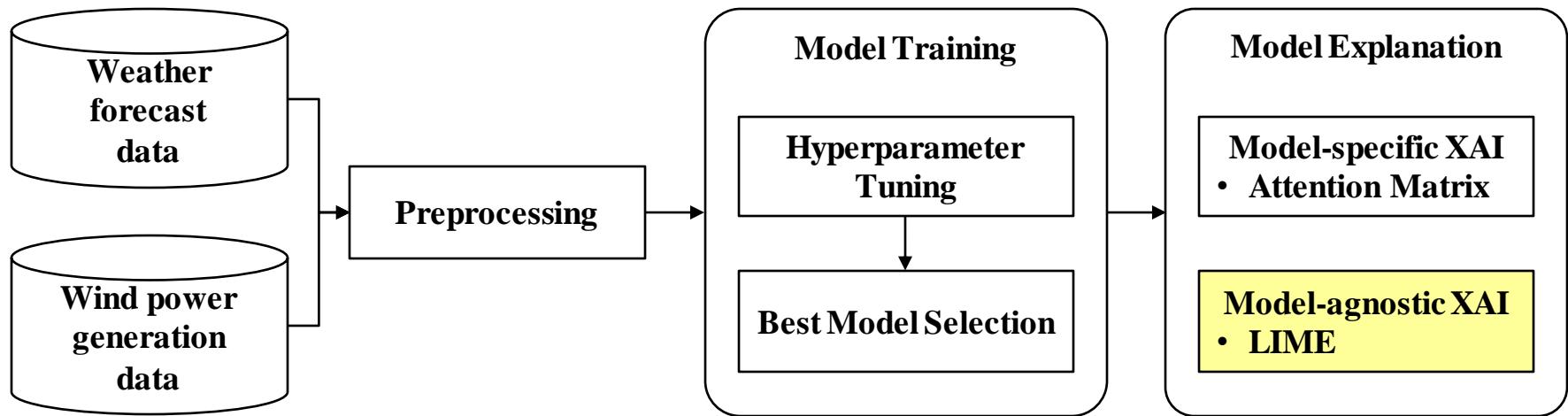
## Explanation

- First, red section in the bottom right,  
we can see that when the transformer performs inference at  
that specific time, the multi-head attention focuses on the  
recent time step.
- Green section in top left, the red box has the highest value.
- Recall that the y-axis represents past data.
- Since the generation data does not change drastically, the  
transformer references the initial generation values and pays  
less attention to the subsequent ones.

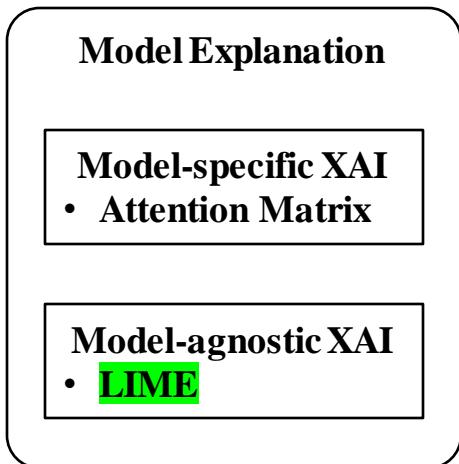
- Two Types of Explainable AI Methods



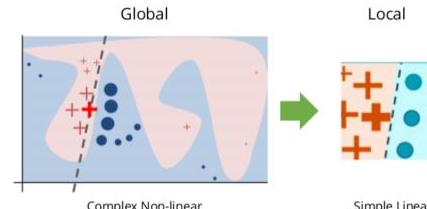
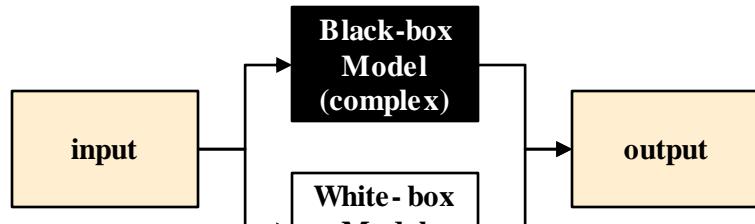
- Two Types of Explainable AI Methods



Local  
Interpretable  
Model-agnostic  
Explanations



- LIME trains a white-box algorithm, like a linear model, on the local decision boundary of the main model.
- This white-box model is called a surrogate model, and its coefficients are used to interpret the original black-box model.

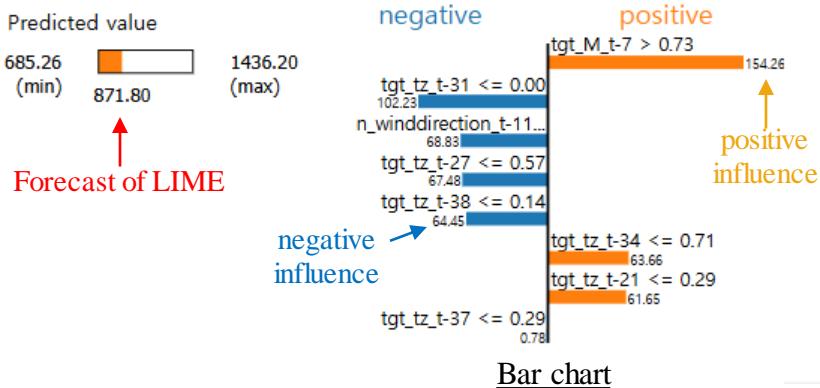


# Explanation - LIME

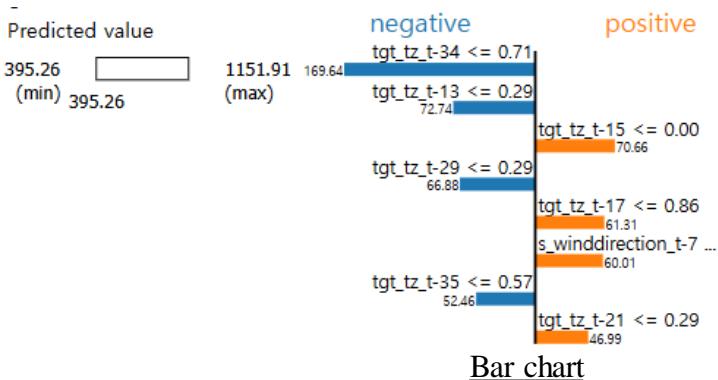


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[High power generation case] Time: 16.12.2017 15:00



[Low power generation case] Time: 28.06.2017 15:00



Feature Value

|                         |      |
|-------------------------|------|
| tgt_M_t-7               | 1.00 |
| tgt_tz_t-31             | 0.00 |
| n_winddirection_t-11... | 0.89 |
| tgt_tz_t-27             | 0.57 |
| tgt_tz_t-38             | 0.14 |
| tgt_tz_t-34             | 0.71 |
| tgt_tz_t-21             | 0.29 |
| tgt_tz_t-37             | 0.29 |

Actual feature values

Feature Value

|                         |      |
|-------------------------|------|
| tgt_tz_t-34             | 0.71 |
| tgt_tz_t-13             | 0.29 |
| tgt_tz_t-15             | 0.00 |
| tgt_tz_t-29             | 0.29 |
| tgt_tz_t-17             | 0.86 |
| s_winddirection_t-7 ... | 0.32 |
| tgt_tz_t-35             | 0.57 |
| tgt_tz_t-21             | 0.29 |

- The first figure represents the forecast of the LIME model.
- The right table shows the actual values of features.
- In the bar chart, the **orange** bar indicates a **positive** influence in LIME's explanation, while the **blue** bar does a **negative** influence.
- [tgt\_tz] and [wind\_direction] appear frequently, which means that these features had significant impacts on the power generation forecasting.



- We first trained wind power generation forecasting models using Transformer model, and then interpreted the model using the explainable AI such as a model-specific method (Attention Matrix) and a model-agnostic method (LIME).
- The Transformer model, WindTransNet-EDH, demonstrated excellent predictive performance compared with other forecasting models.
- Attention Matrix visualized how the model learned the relationship between weather forecast and historical generation data.
- LIME was used to analyze the impact of individual features on the prediction, enhancing model transparency.
- Explainable AI techniques increase model reliability and enhance the potential for real-world applications.

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- [4] Adadi, A. and Berrada, M., "Peeking inside the black-box: A survey on Explainable Artificial Intelligence (XAI)," *IEEE Access*, Vol. 6, pp. 52138-52160, 2018.
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- [6] RIBEIRO, Marco Tulio; SINGH, Sameer; GUESTRIN, Carlos. " Why should i trust you?" Explaining the predictions of any classifier. In: *Proceedings of the 22nd ACM SIGKDD international conference on knowledge discovery and data mining*. 2016. p. 1135-1144.



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# Thank You